



*Receipt*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James A. Proctor, Jr.  
Application No.: 09/997,733 Group: 2664  
Filed: November 29, 2001 Examiner: Not yet assigned  
For: SYSTEM AND METHOD FOR CONTROLLING SIGNAL STRENGTH OVER A  
REVERSE LINK OF A CDMA WIRELESS COMMUNICATION SYSTEM

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202	
on <u>8/8/02</u>	<u><i>J. Simen</i></u>
Date	Signature
Judith Simen	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTED FILING RECEIPT  
FOR UTILITY APPLICATION

**RECEIVED**  
**AUG 22 2002**  
Technology Center 2600

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

We hereby request that the following items of information be corrected in the Filing Receipt for the subject application received in this office on March 11, 2002.

A copy of a recently filed Preliminary Amendment is submitted herewith.

The errors and corrections appear below.

**Which is a CIP of 08/992,760 12/17/1997 PAT 6,081,536 should read:**

**Which is related to 08/992,760 12/17/1997 PAT 6,081,536**

**Which is CIP of 08/992,759 12/17/1997 PAT 6,151,332 should read:**

**Which is related to 08/992,759 12/17/1997 PAT 6,151,332**

10/179,121



-2-

**Which is CIP of 09/030,049 02/24/1998 PAT 6,236,647 should read:**

**Which is related to 09/030,049 02/24/1998 PAT 6,236,647**

Enclosed are copies of the Filing Receipt with changes noted in red and a copy of the Preliminary Amendment.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

Christopher J. Lutz

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Concord, MA 01742-9133

Date: 8/8/02



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/997,733	11/29/2001	2664	1032	2479.1008-015	5	29	2

CONFIRMATION NO. 4012

## UPDATED FILING RECEIPT



\*OC000000007589647\*

David J. Thibodeau, Jr., Esq.  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
530 Virginia Road  
P.O. Box 9133  
Concord, MA 01742-9133

Date Mailed: 03/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

James A. Proctor JR., Indialantic, FL;

## Assignment For Published Patent Application

Tantivy Communications, Inc., Melbourne, FL;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/730,376 12/05/2000  
WHICH IS A CON OF 09/088,413 06/01/1998 PAT 6,222,832  
WHICH IS A CIP OF 08/992,760 12/17/1997 PAT 6,081,536  
AND A CIP OF 08/992,759 12/17/1997 PAT 6,151,332  
AND A CIP OF 09/030,049 02/24/1998 PAT 6,236,647  
AND CLAIMS BENEFIT OF 60/250,908 12/01/2000  
AND CLAIMS BENEFIT OF 60/251,148 12/04/2000

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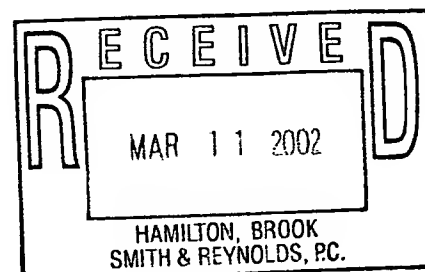
related to  
related to  
related to

## Foreign Applications

If Required, Foreign Filing License Granted 12/28/2001

Projected Publication Date: 06/13/2002

Non-Publication Request: No



Early Publication Request: No

**Title**

System and method for controlling signal strength over a reverse link of a CDMA wireless communication system

**Preliminary Class**

370

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY #5

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/997,733	11/29/2001	James A. Proctor JR.	2479.1008-015

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Concord, MA 01742-9133

2664

CONFIRMATION NO. 4012



\*OC000000008641256\*

Date Mailed: 08/16/2002

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

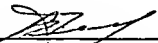
EJH

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY